



Shareholder Identification No.:
 股份持有人識別號碼:

Subscription Form 基金認購表格

Invesco Funds, SICAV 景順盧森堡基金系列 SICAV

- It is recommended that you read the Offering Document before completing this Subscription Form. 建議閣下在填寫此基金認購表格前應先閱讀銷售文件。
- Please ensure that you include all anti-money laundering and counter-terrorist financing documentation applicable to each applicant. Failure to correctly complete all relevant sections of this form and/or failure to provide the correct anti-money laundering and counter-terrorist financing documentation may result in the rejection of or delay in processing your application and/or the suspension of holdings/payments until the requisite identity documentation is received and is to the satisfaction of the Registrar & Transfer Agent and/or the Data Processing Agent (and/or authorised agents appointed by the SICAV, the Registrar and Transfer Agent or the Data Processing Agent). 請附上適用於每位申請人的全部防止洗黑錢及反恐怖份子集資活動文件。倘閣下未能正確填寫本表格所有相關部份及/或未能提供正確的防止洗黑錢及反恐怖份子集資活動文件，或會導致申請被拒或延遲處理及/或持股/付款被凍結，直至閣下遞交所需身份文件，並符合過戶登記處兼轉讓代理人及/或資料處理代理人（及/或 SICAV、過戶登記處兼轉讓代理人或資料處理代理人所委任的認可代理人）的規定為止。
- The capitalised terms used in this Subscription Form are as defined in the Prospectus of Invesco Funds, SICAV. 本基金認購表格中所使用的詞彙具有景順盧森堡基金系列 SICAV 章程所界定的涵義。
- Please complete in BLOCK CAPITALS. 請以英文正楷填寫。

Section 1 第一部份 – Account Details 賬戶詳情

Type of Account 賬戶類別: A. Individual/Joint Holders 個人/聯名客戶 B. Corporation 公司客戶

A. Individual/Joint Holders 個人/聯名客戶

	Surname* 姓*	First Name* 名*	HKID Card / Passport Number 香港身份證/護照號碼
Individual Account / First Account Holder 個人帳戶 / 第一位帳戶持有人 (Mr.先生/Ms.女士/Mrs.太太)			
Joint Account Holders 聯名帳戶持有人 (Mr.先生/Ms.女士/Mrs.太太)			

* Must be identical to ID Card / Passport 必須與身份證/護照相同

B. Corporation 公司客戶

Company Name 公司名稱

Designation: (Designation cannot take name format. Numbers or initial only in no more than 18 characters.)

附屬賬戶: (附屬賬戶的格式不能用姓名，只可用數字或姓名的起首字母及不多於十八個字。)

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Section 2 第二部份 – Subscription Details# 認購詳情#

Name of Fund 基金名稱	Fund Class Currency 基金類別 貨幣	Unit Class 股份類別	Amount in Paid Currency 以付款貨幣認購之款額	Or 或	No. of Shares 股份數目	Paid Currency 付款貨幣
				Or 或		
				Or 或		
				Or 或		

Section 3 第三部份 – Risk Profile Questionnaire & Suitability Assessment 風險取向問卷及適合性評估

Please answer the Question 1 to Question 5 below. Please also complete a separate Risk Profile Questionnaire if you have not completed this previously. For the joint holders account, please complete the questionnaire by each of the joint account holders. Your latest Risk Profile Questionnaire will be provided to you upon request. 請回答以下問題 1 至問題 5。並請另外完成「風險取向問卷」，如閣下之前未曾完成該問卷。若屬聯名賬戶，每位帳戶持有人須各自回答以下問題及填寫一份「風險取向問卷」。閣下可要求查閱閣下於最近期所遞交之「風險取向問卷」。

Please answer the following questions for Suitability Assessment:
 請回答以下問題作適合性評估之用:

	Account Holder 帳戶持有人		Second Account Holders 第二位帳戶持有人	
	YES 是	NO 否	YES 是	NO 否
1. The maximum capital loss of that I am/we are willing to take during a year is over 30%. 本人/吾等於一年內能承受最高 30% 以上的資金損失。	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. I am/We are willing to accept substantial risk and potential loss of principal in pursuit of higher returns. 本人/吾等願意承受高風險和潛在的重大資金損失，以追求更高的潛在回報。	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. I/We plan to invest less than 10% of my/our total net worth (excluding own used property and property investments) in Invesco Funds for this subscription. 本人/吾等打算將本人/吾等總資產的 10% 或以下百分比 (不包括自住物業及物業投資) 投資在是次認購景順之基金上。	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Excluding my/our current investment portfolio, I/we can cover my/our major expenses with my/our liquid assets for 2 years or more. 撇除本人/吾等現時的投資組合，本人/吾等手頭上的流動資金能維持 2 年或以上的日常主要開支。	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. I/We expect to hold my/our investment for more than 10 years. 本人/吾等預期的投資年期為 10 年以上。	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Unless an exemption is applicable under the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission: 除非獲得《證券及期貨事務監察委員會持牌人或註冊人操守準則》適用的豁免：

Invesco will conduct a Suitability Assessment comparing the product risk rating against your risk tolerance level (which is derived from the latest Risk Profile Questionnaire you submitted and your answers to Question 1 to Questions 5 above). If there is a match, we will process the order and subject to the terms and conditions of the latest Offering Document. **However, if there is a mis-match, Invesco will not process the order when a mismatch of risks occurs unless we are able to reach you to understand your investment rationale and receive confirmation.** 景順會透過比較產品風險評級與閣下的風險承受程度（根據閣下所遞交最近的「風險取向問卷」及閣下於以上問題 1 至問題 5 之答案）來進行適合性評估。如結果是相符，我們會繼續執行有關交易指示及受制於有關基金銷售文件的條款。然而，如結果是不相符的話，景順將不會執行產品風險評級與閣下的風險承受程度不相符的交易指示，除非我們能夠在交易前與閣下聯絡並了解閣下的投資意向及確認指示。

If Invesco Hong Kong Limited solicit the sale of or recommend any financial product to you, the financial product must be reasonably suitable for you having regard to your financial situation, investment experience and investment objectives. No other provision of this form or any other document we may ask you to sign and no statement we may ask you to make derogates from this clause. 假如景順投資管理有限公司向閣下招攬銷售或建議任何金融產品，該金融產品必須是我們經考慮閣下的財政狀況、投資經驗及投資目標後而認為合理地適合閣下的。本表格的其他條文或任何其他我們可能要求閣下簽署的文件及我們可能要求閣下作出的聲明概不會減損本條款的效力。

Note 註：

"Financial product" means any securities, futures contracts or leveraged foreign exchange contracts as defined under the Securities and Futures Ordinance. Regarding "leveraged foreign exchange contracts", it is only applicable to those traded by persons licensed for Type 3 regulated activity. "金融產品"指《證券及期貨條例》所界定的任何證券、期貨合約或槓桿式外匯交易合約。就"槓桿式外匯交易合約"而言，其只適用於由獲得發牌經營第 3 類受規管活動的人所買賣的該等槓桿式外匯交易合約。

Suitability Assessment on Derivative Products # 衍生產品合適度評估

Please also note that "Invesco Euro Corporate Bond Fund" and "Invesco Pan European High Income Fund" invest in derivative products (e.g. warrants, equity linked notes, futures, options or forwards) for investment purposes. Therefore, before you decide to invest into any/all of the above mentioned funds, please ensure you have knowledge on derivative products. **If not, we will not accept any application or instruction to issue shares in these funds.** 請注意，《景順歐洲企業債券基金》及《景順泛歐洲收益策略基金》運用衍生產品（例如認股證、與股票掛鈎的票據、期貨、期權或遠期合約）作投資目的。因此，閣下在投資於任何或所有上述所提及的基金之前，請閣下確定已對衍生產品有認識。如閣下對衍生產品沒有認識，我們會拒絕接納有關上述基金股份的發行申請或指示。

The following are the criteria for assessing whether you have derivative product knowledge:

以下問題是用作評估閣下對衍生產品有否認識：

	Account Holder 帳戶持有人		Second Account Holders 第二位帳戶持有人	
	YES 是	NO 否	YES 是	NO 否
1. Have you undergone training or attended courses on derivative products? 閣下曾否接受有關衍生產品的相關培訓或修讀相關課程？	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Do you have any work experience (current or previous) related to derivative products? 閣下現時或過去有否從事與衍生產品有關的工作？	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Have you executed five or more transactions in derivative products (whether traded on an exchange or not) e.g. warrants, equity linked notes, futures, options or forwards within the past three years? 在過往的 3 年內，閣下曾否作出 5 次或以上的衍生產品交易（不論其衍生產品是否在交易所買賣），例如認股證、與股票掛鈎的票據、期貨、期權或遠期合約等？	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Section 4 第四部份 – Risk Disclosure Statement 風險聲明

The prices of shares/units fluctuate, sometimes dramatically. The prices of shares/units may move up or down, and may become valueless. It is as likely that losses will be incurred rather than profit made as a result of buying and selling securities. Funds may invest in emerging markets or single countries or industry sectors. The investment focus of such Funds might give rise to increased risk over more diversified funds. Also, there are special risks involved with investment in hedge funds/absolute return funds. Before making an investment decision, the applicant should read and fully understand the risks set out in the Offering Document, and obtain professional advice. 基金價格有時可能會非常波動。基金價格可升可跌，甚至變成毫無價值。買賣證券未必一定能夠賺取利潤，反而可能會招致損失。若干基金可投資於新興市場或單一國家或工業行業。該等基金的投資焦點可引致該等基金相對於較為分散投資的基金之風險較大。而投資對沖基金／絕對回報基金存在特殊風險。於作出投資決定前，申請人需閱讀並完全明白銷售文件所載之風險及聽取專業意見。

Section 5 第五部份 – Declarations and Signature(s) 聲明及簽署

- I/We acknowledge that I/we have received, read and understood the most up to date version of the Offering Document relating to the funds subscribed to and the Terms and Conditions of the Application Form. I/We undertake to be bound by these documents. 本人／吾等聲明已收到、閱讀和理解所認購基金的銷售文件之最新版本和申請表格的細則和條款，並同意受到該等文件的約束。
- Investors are recommended to evaluate his/her investment needs and risk profile before making investment decisions. 投資者在作出投資決定前，應先了解閣下的投資需要及風險承受能力。
- I/We acknowledge that I/we have understood the risks involved in the fund investment and have read the Offering Document for details of the risk factors. I/We understand that Invesco will not evaluate the appropriateness of my/our dealing orders. 本人／吾等明白基金投資涉及的風險，並已閱讀有關銷售文件。本人／吾等明白景順不會就本人／吾等所作交易指令的適當性作任何評價。
- I/We agree that my/our personal details, identity proof, address proof and /or relevant documents may be transferred to the Registrar & Transfer Agent and Data Processing Agent or Invesco delegates which may be a third party organization and is not part of Invesco Ltd Group for anti-money laundering checking, processing data and /or my/our instructions. Please refer to Section 7 for details. 本人／吾等同意景順可提供本人／吾等之個人資料、身份證明、住址證明及／或有關文件給予有關基金的過戶登記處兼轉讓代理人及／或資料處理代理人或有關景順代表（該代表可能是第三方機構而非 Invesco Ltd 集團之公司），以作打擊洗黑錢的查證、處理資料及本人／吾等之指示。詳情請參閱第七部份。
- I/We agree that, the personal data to be supplied in this form are to be used for the purpose(s) as described in Section 7. 本人／吾等同意在表格提供的個人資料，將被用作本表格內第七部份敘述的目的。

1. Signature 簽署	2. Signature 簽署
Print name 姓名	Print name 姓名
Contact Info 聯絡資料	
Contact Number 電話	Email Address 電郵

Discount (if applicable)
折扣（如適用）

Financial Advisor's Stamp & Authorized Signature(s), (if applicable)
財務顧問印章及授權簽署（如適用）

Date 日期

Section 6 第六部份 – How to Make Payment 怎樣付款

Only accept electronic fund transfer (Telegraphic Transfer, T/T) for subscription payment. 祇接受電子轉賬 (電匯, T/T) 形式支付認購款項。

Payments will not be accepted from third parties. 由第三方支付之款項概不接受。

Subscription payments should be made to the designated accounts of the SICAV as below:

認購款項應轉賬往 SICAV 以下所指定之賬戶:

Address 地址	Invesco Funds SICAV c/o 78 Sir John Rogerson's Quay, Dublin 2, Ireland	
USD 美元	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	J.P. Morgan Chase Bank, New York CHASUS33 INVESCO FUNDS SICAV USD 464650402 (please quote your account number)
HKD 港元	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	J.P. Morgan Chase Bank, Hong Kong CHASHKHH INVESCO FUNDS SICAV HKD 6839273528 (please quote your account number)
EUR 歐元	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 IBAN / IBAN 代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	J.P. Morgan AG, Frankfurt CHASDEFX DE71501108006111601537 INVESCO FUNDS SICAV EUR 6111601537 (please quote your account number)
GBP 英鎊	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 IBAN / IBAN 代碼 Correspondent Bank 代理銀行 Correspondent SWIFT 代理銀行代碼 Account Name 賬戶名稱 Ref. 參考編號	J.P. Morgan Chase Bank Luxembourg SA – Dublin Branch CHASIE4L IE80CHAS93090379701328 J.P. Morgan Chase Bank NA, London CHASGB2L INVESCO FUNDS SICAV GBP (please quote your account number)
JPY 日圓	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	J.P. Morgan Chase Bank, Tokyo CHASJPJT INVESCO FUNDS SICAV JPY 0100008572 (please quote your account number)
AUD 澳元	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Account Name 賬戶名稱 SWIFT Code / SWIFT 代碼 Account Number 賬戶號碼 For further credit to 再記帳入 Ref. 參考編號	Australia and New Zealand Banking Group Limited ANZBAU3M JP Morgan Chase Bank Luxembourg SA CHASLULX LU020670006550045928 INVESCO FUNDS SICAV AUD (please quote your account number)
NZD 紐元	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Account Name 賬戶名稱 SWIFT Code / SWIFT 代碼 Account Number 賬戶號碼 For further credit to 再記帳入 Ref. 參考編號	Westpac New Zealand Limited WPACNZ2W JP Morgan Chase Bank Luxembourg SA CHASLULX LU450670006550045930 INVESCO FUNDS SICAV NZD (please quote your account number)
CAD 加元	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Account Name 賬戶名稱 SWIFT Code / SWIFT 代碼 Account Number 賬戶號碼 For further credit to 再記帳入 Ref. 參考編號	Royal Bank Of Canada, Toronto ROYCCAT2 JP Morgan Chase Bank Luxembourg SA CHASLULX LU610670006550045933 INVESCO FUNDS SICAV CAD (please quote your account number)
SGD 新加坡元	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Account Name 賬戶名稱 SWIFT Code / SWIFT 代碼 Account Number 賬戶號碼 For further credit to 再記帳入 Ref. 參考編號	Oversea-Chinese Banking Corporation Limited OCBCSGSG JP Morgan Chase Bank Luxembourg SA CHASLULX LU390670006550045941 INVESCO FUNDS SICAV SGD (please quote your account number)
CNY 人民幣 (offshore account) (離岸賬戶)	Bank Name 收款銀行 SWIFT Code / SWIFT 代碼 Account Name 賬戶名稱 Account Number 賬戶號碼 Ref. 參考編號	J.P. Morgan Chase Bank, Hong Kong CHASHKHH INVESCO FUNDS SICAV CNY 6896016182 (please quote your account number)

Section 7 第七部份 – Personal Information Collection Statement 收集個人資料聲明

I/We, by signing herein, hereby expressly consent that my/our personal data may be held by the SICAV, Management Company, Sub-Distributor and/or Registrar & Transfer Agent and/or the Data Processing Agent and will be used for the purposes of processing and administering my/our subscription in the Funds, and may also be used for the purpose of carrying out my/our instructions or responding to any enquiry purporting to be given by me/us or on my/our behalf, dealing with any other matters relating to my/our subscription in the Funds (including, where applicable, the mailing of reports or notices), forming part of the records of the recipient as to the business carried on by it, observing any legal, governmental or regulatory requirements of any relevant jurisdiction (including any disclosure or notification requirements to which any recipient of the data is subject). 本人/吾等, 透過簽署本文件, 謹此明確同意 SICAV、管理公司、分經銷商、及/或過戶登記處兼轉讓代理人及/或資料處理代理人可保留本人/吾等的個人資料以作為處理及管理本人/吾等認購本基金之用; 同時亦可用作執行本人/吾等的指示或答覆本人/吾等或以本人/吾等名義作出的查詢; 或其他有關認購本基金之事項(包括, 如適用, 郵寄報告或通告); 此等資料將構成收款人業務之部份記錄; 以遵行任何有關適用司法管轄區的法律、政府或監管規定(包括任何所屬收款人的資料披露及通知的規定)。

I/we also acknowledge and by signing herein expressly consent that the SICAV, Management Company and/or the Registrar & Transfer Agent and/or the Data Processing Agent may disclose and transfer such information subject to applicable laws to the auditors of the SICAV and the Management Company, including any of their employees, officers, directors and agents and/or to the ultimate holding company of the Management Company and the Registrar & Transfer Agent and/or the Data Processing Agent and/or their subsidiaries and/or affiliates or to any third party employed to provide administrative, computer or other services or facilities to any person to whom data is provided or may be transferred as aforesaid and/or to any regulatory authority entitled thereto by law or regulation (whether statutory or not), which persons may be persons outside my/our country of residence. Your information shall be disclosed to third parties where necessary for legitimate business interests only. This may include disclosure to third parties such as auditors, regulators, tax authorities or agents of the Management Company, SICAV, Sub-Distributor, Administration Agent, Registrar & Transfer Agent and/or the Data Processing Agent who process the data inter alia for anti-money laundering and counter-terrorist financing purposes, for Foreign Account Tax and Compliance Act ("FATCA") purposes or for compliance with foreign regulatory requirements. 本人/吾等亦確認及透過簽署本文件, 謹此明確同意 SICAV、管理公司及/或過戶登記處兼轉讓代理人及/或資料處理代理人可披露或轉交有關資料予 SICAV 及管理公司的核數師, 及其僱員、主任、董事及代理人; 及/或管理公司、過戶登記處兼轉讓代理人及/或資料處理代理人的最終控股公司; 及/或附屬公司及/或聯營機構; 或任何受僱之第三者為提供或轉交資料予任何人士而提供行政、電腦或其他服務或設備; 及/或受法律或監管規定授權之任何監管機構(無論是否法定機構)及/或僱主或其有關聯公司, 而此等人士可以是處於本人/吾等居住國家以外的人士, 惟須遵守適用法律規定。閣下的資料僅在必要時, 為合法的商業利益而向第三方披露, 當中可能包括向核數師及監管當局、稅務當局或管理公司、SICAV、分經銷商、行政代理人、過戶登記處兼轉讓代理人及/或資料處理代理人的代理人等第三方披露, 而該等第三者處理資料的目的是在於防止洗黑錢及反恐份子集資活動的目的、就美國《海外賬戶稅收合規法案》(“《合規法案》”)目的, 或為了遵從外國法規要求。

I/we have the right to obtain a copy of the information held about me/us, for which I/we may be charged a fee. Such request should be directed to the Data Protection Officer c/o Head of Compliance, Greater China at the address of Invesco Hong Kong Limited, Invesco Hong Kong Limited, or any company within the Invesco Ltd. Group, or companies of the group of the Administrator/Administration Agent/Registrar and Transfer Agent/Custodian, and agents or delegates of such companies, intend to use my/our name, telephone number, fax number, email address, correspondence address, investment records for direct marketing purposes in connection with investments in other investment fund(s) managed or administered by the Invesco Ltd Group which may include the provision of offers, promotions and benefits by companies within the Invesco Ltd Group. However, you may not do so unless you have received my/our consent. 本人/吾等有權在可能須支付費用的情況下, 索取一份有關本人/吾等的個人資料複本。有關要求將郵寄至景順投資管理有限公司的地址, 向資料保障主任轉交大中華區監察總監提出。景順投資管理有限公司, 或景順集團旗下任何公司, (或行政管理人/行政代理/過戶登記代理/託管人集團公司, 以及該等公司的代理人或獲授權代表), 擬把本人/吾等的(姓名、電話號碼、傳真號碼、電郵地址、郵寄地址、投資紀錄)用作與投資於由景順集團管理或進行行政管理的其他投資基金相關的直接市場推廣用途, 可能包括由景順集團旗下公司提供的優惠、宣傳及利益。然而, 除非得到本人/吾等的同意, 否則貴公司不得作出上述行動。

My/our signature on Section 5 of this form indicates my/our agreement to such use. Should I/we find such use of my/our personal data not acceptable, I/we would have indicated my/our objection before signing by ticking the box below. 本人/吾等於本文件第5部份之簽署表示本人/吾等同意貴公司作出上述用途。若本人/吾等不接受把其個人資料作上述用途, 於簽署前已在以下方格加上剔號, 以表示本人/吾等提出反對。

By ticking herein, I/we do not agree to the use of my/our personal data for direct marketing purposes as mentioned in the above paragraph. 本人/吾等謹在此處註明, 不同意本人/吾等的個人資料以作上文所述的直接市場推廣用途。

I/we may request at any time without charge request you to cease using my/our personal data for direct marketing purposes as mentioned in the above paragraph. Such request will be directed to the Data Protection Officer c/o Head of Compliance, Greater China at the address of Invesco Hong Kong Limited. 本人/吾等可隨時要求貴公司停止使用本人/吾等的個人資料以作前段所述的直接市場推廣用途, 而無需繳付任何費用。有關要求將郵寄至景順投資管理有限公司的地址, 向資料保障主任轉交大中華區監察總監提出。

Invesco Hong Kong Limited 景順投資管理有限公司

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