



Member Account Number 成員帳戶編號

(For Internal Use Only 僅供內部使用)

INVESCO STRATEGIC MPF SCHEME 景順強積金策略計劃
PERSONAL ACCOUNT MEMBERSHIP ENROLLMENT FORM (AND CRS SELF-CERTIFICATION)
個人帳戶成員登記表格 (及共同匯報標準的自我證明)

Please note 請注意：

- ◆ Read the MPF Scheme Brochure of Invesco Strategic MPF Scheme ("the Plan") carefully before completing this form. 填寫此表格前，請先細閱景順強積金策略計劃（「本計劃」）的強積金計劃說明書。
- ◆ Members should note that investment markets could fluctuate significantly. Fund prices may go down as well as up. There is no guarantee that, given the time required to implement such application, such instructions will achieve your desired results. Please carefully consider your own risk tolerance level and financial circumstances (as well as your own retirement plan) before making any investment choices. If in doubt, please contact your independent financial advisor for further details. 成員必須注意投資市場可能出現顯著的波動，基金單位價格可跌可升。由於處理有關申請需要一定的時間，因此未必能夠保證達到閣下預期的結果。在作出投資選擇前，閣下必須小心衡量個人可承受風險的程度及財政狀況（包括您的退休計劃）。如有任何疑問，請諮詢閣下的獨立財務顧問了解更多詳情。
- ◆ Use blue or black ball pen and complete this Form in BLOCK LETTERS. 請以藍色或黑色原子筆及正楷填寫此表格。
- ◆ "*" means delete whichever is inappropriate. Please insert "N.A." if not applicable. 「*」請刪去不適用者。請在不適用處填上「不適用」。
- ◆ "▼" The full and accurate Date of Birth provided is very important. If you select the Default Investment Strategy ("DIS") as your Investment Mandate, the Date of Birth will be used for calculating your age band with reference to the pre-set allocation percentages as shown in the DIS de-risking table for annual de-risking execution. "▼" 提供完整及準確的出生日期非常重要。如您選擇預設投資策略（「預設投資」）作為閣下的投資指示，閣下的出生日期將被用作計算閣下的年齡，並按照預設投資策略降低風險列表的預定配置百分比執行每年降低風險安排。
- ◆ All amendments should be signed. 如有任何刪改，必須在旁加簽。
- ◆ The personal data to be supplied in this Form are to be used for the purpose(s) of, or directly relating to processing your enrollment of personal account and purposes detailed herein. 在本表格提供的個人資料，將被用作處理閣下的個人帳戶之開戶申請及本表格所詳述之目的或直接有關之目的。
- ◆ Should you have any question when completing this Form, please contact INVESCall Member Hotline at (852) 2842-7878. 如閣下於填寫表格時有任何疑問，請致電景順強積金熱線 (852) 2842-7878 查詢。

Section 1 – Personal Information 第 1 部份 – 個人資料

Name of Applicant 申請人姓名 (Must be identical to HKID Card / Passport 必須與香港身份證/護照相同)				
<input type="checkbox"/> Mr. 先生 <input type="checkbox"/> Ms. 女士 <input type="checkbox"/> Mrs. 太太 <input type="checkbox"/> Prof. 教授 <input type="checkbox"/> Dr. 醫生/博士 (please ✓ the appropriate box 請在適當方格內填上✓號)				
English 英文 Surname 姓	Chinese 中文			Sex 性別 <input type="checkbox"/> Male 男 <input type="checkbox"/> Female 女
First Name 名				
<input type="checkbox"/> HKID Card No. 香港身份證 Or 或 <input type="checkbox"/> Passport No.* 護照號碼*		Date of Birth▼ 出生日期▼	Nationality 國籍	
<input type="text"/> <small>*Only for person without HKID card 只適用於並未持有香港身份證</small>		<input type="text"/> / <input type="text"/> / <input type="text"/> <small>DD 日 MM 月 YYYY 年</small>		
Telephone Number 電話號碼	Country Code 國家號碼	Area Code 地區號碼	Telephone/Mobile Number 電話/手提號碼	Ext. 內線
Hong Kong Mobile Number# 香港手提號碼#			<input type="text"/>	
Home Phone Number 住宅電話號碼			<input type="text"/>	
Fax Number 傳真號碼			<input type="text"/>	
Office Phone Number 辦公室電話號碼			<input type="text"/>	
China / Overseas Mobile Number# 中國/海外手提電話號碼#			<input type="text"/>	
E-mail Address# 電郵地址#	<input type="text"/>			
# Mobile phone number and E-mail address must be provided to ensure that you can continue to access your online account securely. 閣下必須提供手提電話號碼及電郵地址，以便安全地登入閣下的網上帳戶。 #The Verification Code for online account login will send to Hong Kong Mobile Number by default. If you want to receive the Verification Code via overseas mobile number, please fill in the field "China /Overseas Mobile Number" and leave the field of "Hong Kong Mobile Number" BLANK. 登入網上帳戶的驗證碼將預設傳送至香港手提電話號碼。如閣下想要以海外手提電話號碼接收驗證碼，請填寫“中國/海外手提電話號碼”一欄及不用填寫“香港手提號碼”。				

Registration of SMS Notification Service[△] 登記短訊通知服務[△] English 英文 Traditional Chinese 繁體中文

If you would like to register this service, please select language and the services would only be applied to a registered Hong Kong mobile phone number.
如欲登記此服務，請選擇語言，而此服務只適用於香港登記之手提電話號碼。

[△] Once registered to the "SMS Notification Service", the member will receive a confirmation message indicating the completion of the instructions via SMS at his/her registered mobile phone number for FREE. This service is applicable to the instructions which include 1) Benefit Transfer-in, 2) Change of Investment Mandate, 3) Change of Personal Particulars and 4) Fund Switching.

[△] 成員只需登記「短訊通知服務」便可透過登記的手機號碼，免費接收到有關成員的強積金帳戶內完成指示後的確認短訊。此項服務涵蓋強積金帳戶內的指示，當中包括 1) 權益轉入, 2) 更改未來投資選擇, 3) 更改個人資料及 4) 基金轉換。

Please note that even if you do not register the SMS service, the Trustee may send you information in the form of SMS, which will be sent in Chinese.
請注意，即使閣下未有登記短訊服務，信託人或會以短訊形式向閣下發出資訊，此短訊會以中文發出。

Residential Address[△] (P.O. Box address and "In-care-of" address will not be accepted. All correspondence will be sent to the following address.)
住宅地址[△] 郵政信箱及「轉交」地址恕不接受。所有通訊將寄往以下地址。)

Flat /Rm. 室 _____ Floor 樓 _____ Block 座 _____

Building / Estate Name _____
大廈/屋苑名稱 _____

Number & Name of Street 街號及名稱 _____

District 地區 _____ Hong Kong 香港 Kowloon 九龍 New Territories 新界

Overseas (Country and City) * 海外(國家及城市)* China 中國 _____ (City 城市)

Others 其他 (Please specify 請說明)

_____ (Country 國家)* _____ (City 城市)*

[△] According to Section 91 (2) of the MPF (General) Regulation, member is required to provide residential address to the Trustee.
根據強積金(一般)規例 91 (2)條，成員須向信託人提供住址資料。

* For overseas address 適用於海外地址

Important Note 注意事項:

Section 1, particularly the personal information (including name, HKID card no., date of birth and address(es)) provided herein, forms part of the "Self-Certification" referred to in Section 2. Please, in that regard, note the Important Notes stated in Section 2. 第 1 部分所提供的個人資料(包括姓名、香港身份證號碼、出生日期及地址)，將構成第 2 部份「自我證明」的一部分。就此，請細閱第 2 部份中的重要提示。

Section 2 – Tax Residency Self-Certification 第 2 部份 – 稅務居民身份自我證明**Important Notes 重要提示:**

• This Section, together with other parts, sections and items of this form stated as such (including (a) those stated as such in Section 1 of this form and (b) the relevant parts, sections and items of Section 4 below (including the relevant acknowledgment, undertaking and certification, and the signature section (and the warning underneath)), constitute the self-certification provided by you to Bank Consortium Trust Company Limited ("Trustee") for the purpose of Automatic Exchange of Financial Account Information ("AEOI") in compliance with tax law and regulations (including but not limited to the Inland Revenue Ordinance (Cap.112) and regulations based on the Organisation for Economic Co-operation and Development (OECD) Common Reporting Standard (CRS) for automatic exchange of information ("Self-Certification")). The data collected may be transmitted by Trustee to the Inland Revenue Department for transfer to the tax authority of another country/jurisdiction. 此部分，與本表格內具有同樣闡述的其他部分、章節及項目(包括(a)本表格內第 1 部分裏及(b)以下第 4 部分裏的相關部分、章節及項目(包括有關的確認、承諾及證明，及簽署的部分(和在其下的警告)))將構成您向銀聯信託有限公司(「信託人」)提供的自我證明的部分，以作自動交換財務帳戶資料("AEOI")用途以遵守稅務法律及規例(包括但不限於《稅務條例》(第 112 章)和根據自動交換資料有關的經濟合作與發展組織(OECD)《共同匯報標準》(CRS)的規則)(「自我證明」)。信託人可把收集所得的資料交給稅務局，稅務局會將資料交到另一國家/司法管轄區的稅務當局。

• This Self-Certification will remain valid unless there is any change in circumstances relating to your status of tax residency. You must notify Trustee within 30 days if there is any change in circumstances that makes any of the information provided in the Self-Certification incorrect or incomplete and provide an updated Self-Certification. 除非您的稅務居民身份有任何改變，否則此自我證明將被視為有效。如情況有所改變，以致本自我證明所載的資料不正確或不完整，您必須在改變後的 30 天內通知信託人有關的改變並提供最新的自我證明。

• Trustee **MUST** obtain the complete and valid tax residency self-certification for the setting up of member record. To avoid any delay in the setting up of member record and contribution settlement (if any), please read and complete all the appropriate parts of this form (particularly those stated as forming parts of the Self-Certification). 信託人在開立成員帳戶前，**必須**取得完整及有效的稅務居民身份自我證明。為避免成員帳戶開立及供款處理(如有)有任何延誤，請細閱並完成所有適用部分(尤其是那些將構成自我證明的部份)。

• All relevant identification/verification documentation for AEOI/CRS purposes should be provided to Trustee upon request. Failure to provide us with the information and other personal data as requested may result in your application/instruction not being able to be processed. 信託人有權要求您提供就 AEOI/CRS 的目的所有相關的身份證明/驗證文件。如未能提供所需資料及其他個人資料，可能導致您的申請/指示不獲處理。

•As a financial institution, Trustee is not allowed to give tax or legal advice. If you have any questions regarding your tax residency, please consult your tax adviser or visit the OECD and Inland Revenue Department's AEOI website at <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/> and http://www.ird.gov.hk/eng/tax/dta_aeoi.htm respectively, or simply scan the QR code, for more CRS and related information. 作為財務機構，信託人不獲允許提供稅務或法律意見。若您對您的稅務居民身份存有任何疑問，請詢問專業稅務顧問或瀏覽 OECD (<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/>) 及稅務局(http://www.ird.gov.hk/chi/tax/dta_aeoi.htm) 有關 AEOI 的網頁，或掃描此二維碼，以獲取更多 CRS 及相關資料。



OECD



IRD (稅務局)

2.1 Country/Jurisdiction of Tax Residency 稅務居民所在國家／司法管轄區

Please put a “✓” in the following box as appropriate 如適用，請在下面的方格填上「✓」。

I hereby declare that, to the best of my knowledge and belief: 以本人所知及所信，在此聲明：

My Tax Residence is 本人之稅務居住地為

Hong Kong ONLY with no tax residence in any other jurisdiction or countries (and my HKID number is my Taxpayer Identification Number (TIN) as Hong Kong tax resident).

只有香港，及沒有處於任何其他司法管轄區或國家的稅務居住地（而本人的香港身份證號碼是本人作為香港稅務居民的稅務編號）。

[If the box above does not apply, please proceed to 2.2 which MUST be filled in for tax residence of either (a) Hong Kong and also some other jurisdictions or countries or (b) not Hong Kong, but instead some other jurisdictions or countries.

如果上面的方格不適用，請填寫 2.2。該部份為稅務居住地是(甲)香港及其他司法管轄區或國家或(乙)不是香港而是其他司法管轄區或國家的稅務居民必須填寫的部份。]

2.2 Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent (“TIN”)

居留司法管轄區及稅務編號或具有等同功能的識辨編號（以下簡稱「稅務編號」）

Please list all countries/jurisdictions (including Hong Kong (where applicable)) where you are a resident for tax purposes and Taxpayer Identification Number or its Functional Equivalent (TIN) for each country/jurisdiction. If the space provided is insufficient, please provide it in the below format on additional sheet(s).

請在以下列明閣下作為稅務居民的所有國家／司法管轄區（包括香港（如適用））及相關的稅務編號或具有等同功能的識辨編號（稅務編號）。如下列位置不敷應用，請按以下格式另加新頁。

Country/Jurisdiction of Tax Residency 稅務居民所在國家／司法管轄區	TIN ^{Remarks 1} 稅務編號 ^{註 1}	If no TIN is available, please indicate Reason A, B or C below ^{Remarks 2} 若未能提供稅務編號，請於下方填上理由 A、B 或 C ^{註 2}	Please explain why you are unable to obtain a TIN if you have selected Reason B. 若您選擇理由 B，請在下方解釋無法取得稅務編號的原因。
1			
2			
3			

Remarks 註：

- If you are PRC Resident Identity Card holder, the TIN is the PRC Resident Identity Card Number.
若您是中華人民共和國居民身份證持有人，稅務編號為閣下中華人民共和國居民身份證號碼。
If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number
如帳戶持有人是香港稅務居民，稅務編號是其香港身份證號碼。
- Reason A - The country/jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.
理由 A - 帳戶持有人所屬的稅務居民的國家／司法管轄區沒有向其居民發出稅務編號。
Reason B - The account holder is unable to obtain a TIN.
(Please explain why you are unable to obtain TIN in the above table if you have selected this reason.)
理由 B - 帳戶持有人無法獲得稅務編號。（若您選擇這理由，請在上表解釋您無法獲得稅務編號的原因。）
Reason C - No TIN is required.
(Note: Only select this reason if the authorities of the relevant jurisdiction of residence does not require the TIN to be disclosed.)
理由 C - 無需稅務編號。（註：只有在相關司法管轄區的主管機關不需要披露該司法管轄區發出的稅務編號方可選擇這理由。）

Section 3 – Investment Mandate 第 3 部份 – 投資指示

(Please complete and return the Fund Transfer Form (Form MPF(S) – P(M)) or Account Consolidation Form (Form MPF(S) – P(C)) for transfer-in assets.

請填妥並交回資金轉移申請表(第 MPF(S)-P(M)號表格)或整合個人帳戶申請表(第 MPF(S)-P(C)號表格),以便辦理轉入的資產。)

Important Notes 重要事項:

Please indicate your investment mandate for each of the Mandatory Portion and Voluntary Portion in the two columns provided below. Every portion can have an individual investment mandate. If no investment mandate is specified in any column, all future contributions or transfer-in asset to the respective portion will be 100% invested into the Default Investment Strategy (“DIS”). 請於下列兩欄各自為閣下的「強制性」及「自願性」供款部份清楚填寫投資指示,每個供款部份可以有其個別的投資指示。如閣下就個別供款部份沒有填上投資指示,該供款部份所有的未來供款或轉入資產,將100%投資於預設投資策略(「預設投資」)。

If you do not wish to make an investment choice, you do not have to do so, but if no investment mandate is specified in any column, or if what is specified is not a valid investment mandate (or is regarded as not a valid investment mandate), all future contributions or transfer-in asset to the respective portion will be 100% invested into the DIS. For details, please refer to the Remarks in this Section. Please note that the DIS is not a fund, it is a ready-made investment arrangement that invests in two Constituent Funds, namely Core Accumulation Fund (“CAF”) and Age 65 Plus Fund (“A65F”), to **automatically** manage investment risk exposure by reducing the exposure to higher risk assets, as the CAF, and correspondingly increasing the exposure to lower risk assets, as the A65F, when members approach their retirement age. In general, the de-risking adjustment of asset allocation between two Constituent Funds will be carried out annually on a member’s birthday between the ages from 50 to 64 years old. For details, you may refer to the information on DIS at www.invesco.com.hk/mpf. For your investment choice combination, you are free to choose to invest into the DIS and/or one or more constituent funds from the list below (including Core Accumulation Fund and Age 65 Plus Fund as standalone investments). 若閣下不願意提供投資選擇,閣下可選擇不提供,但如閣下沒有填上投資指示,或閣下所指定的並非有效的投資指示(或被視作非有效的投資指示),該部份日後的所有供款或轉入資產,將100%投資於預設投資。詳情請參閱本部份的備註。請注意,預設投資並非一項基金,它是一項預先制定的投資安排,它投資於兩項成份基金,即核心累積基金(“CAF”)及65歲後基金(“A65F”),隨成員接近退休年齡而自動減持較高風險資產(即“CAF”),同時相應增持較低風險資產(即“A65F”)的比例,藉以降低投資風險。此降低風險的調整安排一般會在成員50至64歲期間每年的生日當天執行。詳情可參照於www.invesco.com.hk/mpf的預設投資資訊。於閣下的投資選擇組合內,閣下可自由選擇投資於預設投資及/或下列一個或多個成份基金(包括作為單獨投資的核心累積基金及65歲後基金)。

Members should note that although DIS is a statutory arrangement, it does not guarantee capital repayment nor positive investment returns. Please refer to the MPF Scheme Brochure for details. 成員請注意,預設投資雖是法定安排,但不保證可償還資本或錄得正面投資回報。有關詳情請參閱強積金計劃說明書。

Contributions shall be invested in the following manner 供款按下列比例投資:

Code 代號	Investment Choices 投資選擇	Investment Allocation Percentage% (Must be an Integer, min. of 1%) 投資配置百分比% (須以整數填寫,最少1%)	
		Investment Choices should be provided for both contribution portions 必須提供下列兩部份供款的投資選擇	
		Mandatory Portion 強制性供款部份	Voluntary Portion 自願性供款部份 (if applicable 如適用)
DIS	Default Investment Strategy* 預設投資策略*		
HK	Hong Kong and China Equity Fund 中港股票基金		
HS	Invesco Hang Seng Index Tracking Fund [△] 景順恒指基金 [△]		
AE	Asian Equity Fund 亞洲股票基金		
GR	Growth Fund 增長基金		
BF	Balanced Fund 均衡基金		
CA	Core Accumulation Fund 核心累積基金 (No automatic de-risking features 沒有自動降低投資風險特性)		
RB	RMB Bond Fund 人民幣債券基金		
CS	Capital Stable Fund 資本穩定基金		
65	Age 65 Plus Fund 65歲後基金 (No automatic de-risking features 沒有自動降低投資風險特性)		
GB	Global Bond Fund 環球債券基金		
GT	Guaranteed Fund 回報保證基金		
CP	MPF Conservative Fund [#] 強積金保守基金 [#]		
	Total 合共 (%)	100%	100%

+ Please refer to the information about the DIS in the MPF Scheme Brochure 請參閱強積金計劃說明書內有關預設投資的資料

△ Please read the disclaimer in relation to the Hang Seng Index in the MPF Scheme Brochure 請參閱強積金計劃說明書內有關恒生指數的免責聲明

Previously known as Capital Preservation Fund 前稱保本基金

Remarks 備註：

1. The Investment Mandate specified will apply to transfer-in assets, if any. 如適用，上述的投資指示將適用於轉入資產。
2. Any minimum MPF benefits derived from your previous ORSO scheme, if applicable, will be included in the Mandatory Contribution Portion. 如適用，自閣下前職業退休計劃衍生的最低強制性公積金利益會包括在強制性供款部份內。
3. Investment returns of the Guaranteed Fund are guaranteed in the manner and in the circumstances described in the MPF Scheme Brochure. Investment returns of the other Constituent Funds are not guaranteed and are subject to market fluctuations and to the risks inherent in all investments accordingly. The price of Units of any Constituent Fund and the income from them may go down as well as up. 回報保證基金之投資回報乃按強積金計劃說明書所述之形式及情況而獲得保證。其他成份基金之回報並不獲保證，亦可能受到市場波動及投資風險影響。任何成份基金之單位價格及其收入可升亦可跌。
4. If you have not elected to make any Voluntary Contributions but you have specified an Investment Allocation Percentage for the Voluntary Portion in the above table and you subsequently elects to make Voluntary Contributions, the Investment Allocation Percentage you specified will still be applied to subsequent Voluntary Contributions you made in future unless you provide the Trustee with a new Investment Allocation Percentage for such subsequent Voluntary Contributions. 若閣下不作出任何自願性供款，而閣下在上表為自願性供款部分提供投資配置百分比，此投資配置百分比將適用於閣下日後作出的自願性供款，直至閣下為該自願性供款重新提供投資配置百分比予信託人。
5. The investment mandate indicated above do not apply to the MPF asset transferred within the same scheme, i.e. Invesco Strategic MPF Scheme. If the MPF asset transfer-in is from another account under the same scheme, the fund allocation of such asset will remain unchanged (the units under respective funds will be different if asset transfer is involved in different classes) until fund switching instruction is received from you. 以上設定之投資指示不適用於由同一計劃（即景順強積金策略計劃）內的強積金資產轉移。若強積金資產是由同一計劃內另一個帳戶轉入，該筆資產的基金分佈將維持不變（如資產轉移涉及不同單位類別，基金單位將會改變），直至閣下另行作出基金轉換指示為止。
6. A valid Investment Mandate for each of the portions must be such that (a) each Investment Allocation Percentage is specified as an integer, i.e. a whole number, of at least 1 %, and (b) all of the Investment Allocation Percentages add up to 100% in total. If an Investment Mandate does not comply with such requirements including, but not limited to cases where any Investment Allocation Percentage is not specified as an integer of at least 1 % or all of the Investment Allocation Percentages add up to more than 100% in total, the Investment Mandate will be regarded as invalid. Where what has been specified is regarded as an invalid investment mandate, all future contributions or transfer-in asset to the respective account will be 100% invested into the DIS. If all of the Investment Allocation Percentages add up to less than 100% in total, you would be regarded as not having given a valid Investment Mandate in respect of the shortfall, and the contributions/ assets corresponding to such shortfall will be in the DIS. 各供款部份的有效投資指示必須為(a)每個投資配置的百分比至少1%的整數(即完整的數目)表示，及(b)全部投資配置的百分比總和等於100%。若投資指示並未符合上述要求，包括但不限於任何投資配置的百分比並不是至少1%的整數或全部投資配置的百分比總和超過100%，則該投資指示將被視作無效。若指定的投資指示被視作為並非有效的投資指示，該戶日後的所有供款或轉入資產，將100%投資於預設投資。若全部投資配置的百分比總和少於100%，閣下將被視作未就差額部份作出有效的投資指示，相當於差額部份的供款/資產將被投資到預設投資。

Section 4 – Authorization, Declaration and Consent 第4部份 – 授權、聲明及同意

1. Personal Information Collection Statement 收集個人資料聲明

I agree that 本人同意

(i) Information supplied on the Form and otherwise in connection with my participation in the Plan may be held by the Trustee and/or the Sponsor and will be used for the purposes of processing and administering my participation in the Plan, and may also be used for the purpose of carrying out my instructions or responding to any enquiry purporting to be given by me or on my behalf, dealing with any other matters relating to my participation in the Plan (including, where applicable, the mailing of reports or notices and used by the employer (or a related company of the employer) for any purpose), forming part of the records of the recipient as to the business carried on by it, observing any legal, governmental or regulatory requirements of any relevant jurisdiction (including any disclosure or notification requirements to which any recipient of the data is subject). The Sponsor intends to use my personal data (name, telephone number, fax number, email address, correspondence address, investment records) for direct marketing of MPF products or services but the Sponsor cannot use my personal data without my consent¹. All such information may be retained after I have ceased to participate in the Plan. Under the Personal Data (Privacy) Ordinance², I have the right to obtain a copy of information held about myself and for which I may be charged a fee. 信託人及/或營辦人可保留本表格所載資料及其他有關申請參與本計劃的資料，以作為處理及管理本人申請參與本計劃之用；同時亦可用作執行本人的指示或答覆本人的查詢；或其他有關參與本計劃之事項(包括，如適用，郵寄報告或通告，僱主(或其有關聯公司)之任何用途)；此等資料將構成資料接收人業務之部份記錄；以遵行任何有關適用司法管轄區的法律、政府或監管規定(包括任何資料接收人需遵守的披露或通知的規定)。營辦人有意使用本人之個人資料(姓名，電話號碼，傳真號碼，電郵地址，通訊地址，投資紀錄)用作直接推廣強積金產品或服務；然而營辦人除非得到本人同意不能如此使用我的個人資料¹。在本人停止參與本計劃後，信託人及/或營辦人仍可保留上述所有資料。根據個人資料(私隱)條例²，本人有權在支付費用的情況下，索取一份有關本人個人資料的副本。

¹ Please note that by signing this Agreement, you expressly agree to the use of your personal data for direct marketing purposes as mentioned herein. The Sponsor will cease using the personal data upon your written or verbal request. 一經簽署本文件，閣下即明確表示同意營辦人為直接市場推廣目的而使用閣下的個人資料。倘接獲閣下之書面或口頭要求，營辦人將會停止使用閣下的個人資料。

If you do not wish your information to be made available for the dispatch of information on MPF products or services to you from the Sponsor, please ✓ the box. 如閣下不欲將資料提供給營辦人，以用作向閣下發放強積金產品或服務資料，請在方格內加上✓號。

² You are entitled under the Personal Data (Privacy) Ordinance to be informed by Invesco whether it holds Personal Data about you and to request access to and/or correction of any such Personal Data. Any such request may be made to Data Protection Officer, c/o Head of Compliance, Greater China, Invesco Hong Kong Limited, 41/F, Champion Tower, Three Garden Road, Central, Hong Kong. 按個人資料(私隱)條例，閣下有權要求景順提供是否持有閣下的個人資料，或要求接觸和/或更改任何個人資料。此類要求，可向資料保障主任轉交大中華區監察總監作出書面查詢。請郵寄至香港中環花園道三號冠君大廈四十一樓，景順投資管理有限公司收。

Section 4 – Authorization, Declaration and Consent (Continued) 第 4 部份 – 授權、聲明及同意 (續)

- (ii) The Trustee and/or the Sponsor may disclose and transfer such information to the auditors of the Plan and the Sponsor, including any of their employees, officers, directors and agents and/or to the ultimate holding company of the Sponsor and the Trustee and/or their subsidiaries and/or affiliates or to any third party employed to provide administrative, computer or other services or facilities which are MPF related to any person to whom data is provided or may be transferred as aforesaid and/or to any regulatory authority entitled thereto by law or regulation (whether statutory or not), which persons may be persons outside Hong Kong. 信託人及/或營辦人可披露或轉交有關參與本計劃的資料予本計劃的核數師和營辦人，及其僱員、主任、董事及代理人；及/或營辦人及信託人的最終控股公司；及/或附屬公司及/或聯營機構；或其僱用之第三者以提供跟強積金有關之行政、電腦或其他服務或設備；及/或受法律或監管規定授權之任何監管機構(無論是否法定機構)，而此等人士可以是非居港人士。
2. If applicable, I acknowledge and agree that my full benefit entitlement under the ORSO registered Scheme or other MPF scheme of which I was formerly a member ("Former Scheme") is being transferred to the Invesco Strategic MPF Scheme as an initial contribution balance and is in full satisfaction of my entitlement under the Former Scheme, irrespective of the terms of the Former Scheme. 如適用，不論前註冊職業退休計劃或其他強積金計劃(前計劃)之條文，本人認知及同意轉移本人於前計劃中之所有利益至景順強積金策略計劃作為初期供款並滿意前計劃中所得的利益。
3. I understand that the Trustee will not be liable for any delay in processing my enrollment, any discrepancy between my intended investment allocation as set out under Section 3 above and the allocation actually used for my contributions, or in the absence of gross negligence, fraud or bad faith for any other loss, cost or liability whatsoever related to my membership in the Plan. 本人明白信託人將不會負責有關處理本人參與計劃之延誤、真正的投資配置與本表格第 3 部份不同、在沒有重大過失、欺詐或惡信的情況下引致本人負上任何其他損失、費用或責任。
4. I have read and agree to comply with the governing rules of the Plan. 本人已瞭解並同意遵守本計劃之計劃條款。
5. I confirm that the information provided in this Form is accurate and complete. I authorize the Trustee to confirm this from any source the Trustee may choose. 本人確定在此表格上填寫的資料均屬正確無訛，並無缺漏。本人授權信託人用任何渠道確實資料的真確。
6. I understand that the Trustee may not be able to process this application if I fail to provide any information requested in this Form. 本人明白倘若本人未能提供本表格所需的資料，信託人將可能無法處理有關申請。
7. I undertake to notify the Trustee as soon as possible of any changes to the information contained in this Form. 本人承諾如本表格所載資料有任何更改，將盡早通知信託人。
8. I hereby agree to indemnify the Trustee against any actions, proceedings, claims, losses, damages, costs or expenses which may be brought against the Trustee or suffered or incurred by the Trustee arising either directly out of or in connection with the Trustee accepting facsimile instructions and acting thereon, whether or not the same are confirmed by me in writing. Notwithstanding the previous paragraph, the Trustee has the right to determine which Forms or other documents of instructions may or may not be accepted by facsimile. 本人同意並授權信託人接受傳真指示及根據該等指示處理有關事宜(不論該等指示是否經本人書面確認)，亦同意就直接或間接因此引致對信託人的任何行動，訴訟，責任、賠償、損失或費用作出彌償保證。信託人有權決定只接受某一指定的表格或指示以傳真方式傳遞。
9. I understand that I will be required to provide evidence required by applicable laws and regulations relating to anti-money laundering checks to provide my identity and source of funds. If Invesco / the Trustee does not receive satisfactory evidence, further documentation may be requested, and the relevant transaction shall not be processed until such documentation is received. 本人明白須就現行打擊清洗黑錢的有關法例及規則的要求而提供資料，以證明本人的身份及資金的來源。倘若景順或信託人未能收到滿意之證明，則可要求提供進一步資料，而有關交易謹在接獲有關資料後方可進行。
10. I declare that to the best of my knowledge and belief, the information given and statement made in this form and/or its attachment(s), if any, is true, correct and complete. 本人聲明，盡本人所知及所信，本表格及隨附文件(如有)所提供的資料和聲明均屬真實、正確無訛且無缺漏。
11. I acknowledge and agree that (a) the information contained in the parts of this form constituting the Self-Certification is collected and may be kept by Trustee for the purpose of AEOI, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by Trustee to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another country/countries and/or jurisdiction(s) in which the account holder may be resident for tax purposes pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112), and (c) I agree to the obligation that the account holder must comply with requests made by Trustee from time to time to comply with the CRS (AEOI) requirements under the Inland Revenue Ordinance and/or applicable law and regulation, and such obligation forms the basis of the account to be opened. 本人確認及同意，信託人可根據《稅務條例》(第 112 章)有關交換財務帳戶資料的法律條文，(A) 收集本表格構成自我證明的部份所載資料並可備存作 AEOI 用途及 (B) 把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到帳戶持有人可能具有稅務居民身分所在的國家及/或司法管轄區的稅務當局及 (C) 本人同意帳戶持有人必須遵守信託人不時的要求以便遵守《稅務條例》及/或適用法律及規例的 CRS (AEOI) 規定，並為日後開立帳戶之基礎。

Section 4 – Authorization, Declaration and Consent (Continued) 第 4 部份 – 授權、聲明及同意 (續)

12. I undertake to advise Trustee of any change in circumstances which affects the tax residency status of the individual identified in the parts of this form constituting the Self-Certification or causes the information contained herein to become incorrect or incomplete, and to provide Trustee with a suitably updated Self-Certification within 30 days of such change in circumstances. 本人承諾，如情況有所改變，以致影響本表格構成自我證明的部份所述的個人的稅務居民身份，或引致本自我證明所載的資料不正確或不完整，本人會通知信託人，並會在情況發生改變後 30 日內，向信託人提交一份已適當更新的自我證明表格。
13. I certify that I am the account holder of all the account(s) to which this form relates and/or currently held with Trustee (if any). 本人證明，就與本表格所有相關的帳戶及／或現於信託人的帳戶（如有），本人是帳戶持有人。

Signature of Applicant 申請人簽署

(This signature will also act as a specimen signature for future correspondence. 日後有關本計劃之簽署，將以此簽署式樣為準。)

Date 日期

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a Self-Certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. HK\$10,000).

警告：根據《稅務條例》第 80 (2E) 條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第 3 級（即 HK\$10,000）罰款。

Please also provide the following documents for the application: 請同時提供以下文件作為申請之用：

- Hong Kong Permanent Identity Card copy[^]. (If you hold a Hong Kong Identity Card only, please also provide the copy[^] of your valid passport.) 香港永久性居民身份證之副本[^]。(如閣下只持有香港居民身份證，請一併遞交閣下有效護照之副本[^]。)
- An original or copy[^] of residential address proof bearing your full name and issued within the recent 3 months (e.g. utility bills or bank statements). 一項居住地址證明之正本或副本[^]，該證明必須為最近三個月內發出（例如電話費、水費、電費單或銀行月結單）。

[^] Certified true copy is required if you currently are not an Invesco Pension Member (including MPF or ORSO). Certification can be done by Invesco, Bank Consortium Trust, a Bank, a Solicitor or a Notary Public. 如閣下現非景順積金成員（包括強積金及公積金），請提供經由景順、銀聯信託、銀行、律師或公證人核證之核證副本。

Additional documents may be required by the Trustee and/or the Sponsor for the purposes of anti-money laundering and counter-terrorist financing. 基於防止洗黑錢及反恐怖份子集資活動的原因，信託人及／或營辦人可能要求閣下遞交額外的文件。

Please return all required document(s) by mail to:

Pension Services (INV)
Bank Consortium Trust Company Limited
18/F Cosco Tower,
183 Queen's Road Central, Hong Kong

請將有關申請文件寄回：

銀聯信託有限公司
退休金服務 (INV)
香港皇后大道中
183 號中遠大廈 18 樓

BCT use only 銀聯信託專用：	Document Received Date:	Inputted By:	Checked By:	Remarks:
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