



INVESCO SELECT RETIREMENT FUND - SAVINGS CLASS

景順特選退休基金 - 儲蓄類別

APPLICATION & SUBSCRIPTION FORM 申請及認購表格

For Rollover from Retirement Scheme 轉移自退休計劃專用

- It is recommended that you read the Offering Document before completing this Form. 建議閣下在填寫此表格前應先閱讀銷售文件。
- You should note that investment markets could fluctuate significantly. Fund prices may go down as well as up. There is no guarantee that, given the time required to implement such application, such instructions will achieve your desired results. Please carefully consider your own risk tolerance level and financial circumstances (as well as your own retirement plan) before making any investment choices. If in doubt, please contact your independent financial advisor for further details. 閣下必須注意投資市場可能出現顯著的波動，基金單位價格可跌可升。由於處理有關申請需要一定的時間，因此未必能夠保證達到您預期的結果。在作出投資選擇前，您必須小心衡量個人可承受風險的程度及財政狀況（包括您的退休計劃）。如有任何疑問，請諮詢您的獨立財務顧問了解更多詳情。
- Please use blue or black ball pen and complete this Form in BLOCK LETTERS. 請以藍色或黑色原子筆及正楷填寫此表格。
- All amendments should be signed. 如有任何刪改，必須在旁加簽。

Section 1 - Details of Subscriber 第 1 部份 - 認購人詳情

	Surname* 姓*	First Name* 名*	HKID Card / Passport Number 香港身份證/護照號碼
Individual Account Holder 個人帳戶持有人 (Mr. 先生/Ms. 女士/Mrs. 太太)			

* Must be identical to HKID Card / Passport 必須與香港身份證/護照相同

Residential Address 住址	
Contact Number 聯絡電話號碼	Mobile Phone Number 手提電話號碼
Email Address 電郵地址	Fax Number 傳真號碼

If you are an existing unitholder of Invesco Select Retirement Fund - Savings Class*, please quote your account number in the box below.
閣下如屬景順特選退休基金 - 儲蓄類別的現有單位持有人*，請於下列空格填上閣下的帳戶號碼。

Existing account number 現有帳戶號碼

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*Not including employees who invest through ORSO schemes. 並不包括透過職業退休計劃投資的僱員。

Section 2 - Details of Transfer from ORSO Scheme 第 2 部份 - 轉移自職業退休計劃詳情

(Only Applicable to ORSO Scheme 只適用於職業退休計劃)

ORSO Scheme Information 職業退休計劃資料
Name of Employer 僱主名稱

I elect to have ALL proceeds from ORSO scheme (except proceeds required to be reserved under "Minimum MPF Benefits"[#] and/or encash long service payment arrangements) to be transferred to Savings Class of the same sub-fund(s). 本人選擇將自上述計劃的所得款項（須保留的「最低強積金利益」[#] 及/或可兌現的「長期服務金」除外）轉移至相同附屬基金的儲蓄類別。

[#] Only applicable to employees who joined an MPF-exempted ORSO scheme after 1 Dec 2000. According to the MPF regulations, these employees are required to preserve "Minimum MPF Benefits" in a MPF scheme after termination of employment. 只適用於 2000 年 12 月 1 日後參加獲強積金豁免職業退休計劃的僱員。根據強積金條例，這些僱員在離職後必須將「最低強積金利益」保留於強積金計劃內。

Section 3 - Method of Payment 第 3 部份 - 付款辦法

Please inform your scheme trustee about your rollover before your last employment day. The scheme trustee will transfer your retirement scheme benefits to Invesco directly. If you have already received your retirement scheme benefit from your scheme trustee, payment for rollover subscription should be made by cheque, bank draft or telegraphic transfer (TT). Please also submit a copy of your "Termination Benefit Statement" as proof for scheme membership and benefit amount. Payments will not be accepted from third parties. 請於離職前通知閣下的計劃信託人有關退休計劃利益的轉移，計劃信託人會直接轉移款項至景順。如閣下已從計劃信託人收到退休計劃的利益，請以支票，銀行匯票或電匯交付有關轉移申請的款項，並請附上計劃成員離職權益報表，以便核實成員身份及計劃權益。由第三方支付的款項概不接受。

1. Cheque 支票 Bank draft 銀行本票 Intl. Money Order 國際郵政匯票

All cheques, bank drafts and money orders should be made payable to "Bank Consortium Trust Company Limited as Trustee of Invesco Select Retirement Fund" and crossed "A/C Payee Only, not negotiable" and sent to Hong Kong Fund Services, Invesco Hong Kong Limited at 41/F, Champion Tower, Three Garden Road, Central, Hong Kong. Please quote your full name and HKID Card / passport number as reference.

所有支票、銀行本票及郵政匯票均應以「Bank Consortium Trust Company Limited as Trustee of Invesco Select Retirement Fund」為收款人，以「只准入收款人帳戶，不得轉讓」劃線方式開出，並寄往 景順投資管理有限公司 香港基金服務，地址為香港中環花園道三號冠君大廈四十一樓。請列明閣下的姓名及香港身份證/護照號碼作參考。

2. Telegraphic Transfer (“TT”) 電匯 Please transfer the payments to 請電匯致:

(I) In Hong Kong Dollar (HKD) 港元

Bank Name 銀行名稱 : Citibank, N.A. Hong Kong
SWIFT Code 代碼 : CITIHKHX
A/C Name 帳戶名稱 : Bank Consortium Trust Company Limited as Trustee of Invesco Select Retirement Fund
A/C No. 帳戶號碼 : 006-391-61086576
Ref 參考編號 : _____ *

(II) In US Dollar (USD) 美元

Bank Name 銀行名稱 : Citibank N.A. Hong Kong
SWIFT Code 代碼 : CITIHKHX
A/C Name 帳戶名稱 : Bank Consortium Trust Company Limited as Trustee of Invesco Select Retirement Fund
A/C No. 帳戶號碼 : 006-391-61086584
Correspondent Bank 代理銀行 : Citibank, N.A. New York
Correspondent Bank SWIFT Code 代碼 : CITIUS33
Correspondent Bank A/C No. 代理銀行帳戶號碼 : 10990845
Ref 參考編號 : _____ *

* Please quote your full name and HKID Card / passport number as reference.
請列明閣下的姓名及香港身份證/護照號碼作參考。

Note: Subscription of US\$ Money Market Fund and HK\$ Money Market Fund will be made after subscription monies have been cleared.

附註: 美元貨幣市場基金及港元貨幣市場基金之認購申請, 將會在認購款項結算後才進行。

Section 4 – Risk Profile Questionnaire & Suitability Assessment 第 4 部份 – 風險取向問卷及適合性評估

Please answer the Question 1 to Question 5 below. Please also complete a separate Risk Profile Questionnaire. 請回答以下問題 1 至問題 5。並請另外完成「風險取向問卷」。

Please answer the following questions for Suitability Assessment:

請回答以下問題作適合性評估之用:

		Account Holder 帳戶持有人	
		YES 是	NO 否
1.	The maximum capital loss of that I am/we are willing to take during a year is over 30%. 本人/吾等於一年內能承受最高 30% 以上的資金損失。	<input type="checkbox"/>	<input type="checkbox"/>
2.	I am/We are willing to accept substantial risk and potential loss of principal in pursuit of higher returns. 本人/吾等願意承受高風險和潛在的重大資金損失, 以追求更高的潛在回報。	<input type="checkbox"/>	<input type="checkbox"/>
3.	I/We plan to invest less than 10% of my/our total net worth (excluding own used property and property investments) in Invesco Funds for this subscription. 本人/吾等打算將本人/吾等總資產的 10% 或以下百分比(不包括自住物業及物業投資) 投資在是次認購景順之基金上。	<input type="checkbox"/>	<input type="checkbox"/>
4.	Excluding my/our current investment portfolio, I/we can cover my/our major expenses with my/our liquid assets for 2 years or more. 撇除本人/吾等現時的投資組合, 本人/吾等手頭上的流動資金能維持 2 年或以上的日常主要開支。	<input type="checkbox"/>	<input type="checkbox"/>
5.	I/We expect to hold my/our investment for more than 10 years. 本人/吾等預期的投資年期為 10 年以上。	<input type="checkbox"/>	<input type="checkbox"/>

Unless an exemption is applicable under the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission: 除非獲得《證券及期貨事務監察委員會持牌人或註冊人操守準則》適用的豁免:

Invesco will conduct a Suitability Assessment comparing the product risk rating against your risk tolerance level (which is derived from the latest Risk Profile Questionnaire you submitted and your answers to Question 1 to Questions 5 above). If there is a match, we will process the order and subject to the terms and conditions of the latest Offering Document. **However, if there is a mis-match, Invesco will not process the order when a mismatch of risks occurs unless we are able to reach you to understand your investment rationale and receive confirmation.** 景順會透過比較產品風險評級與閣下的風險承受程度(根據閣下所遞交最近的「風險取向問卷」及閣下於以上問題 1 至問題 5 之答案)來進行適合性評估。如結果是相符, 我們會繼續執行有關交易指示及受制於有關基金銷售文件的條款。然而, 如結果是不相符的話, 景順將不會執行產品風險評級與閣下的風險承受程度不相符的交易指示, 除非我們能夠在交易前與閣下聯絡並了解閣下的投資意向及確認指示。

If Invesco solicits the sale of or recommend any financial product to you, the financial product must be reasonably suitable for you having regard to your financial situation, investment experience and investment objectives. No other provision of this form or any other document we may ask you to sign and no statement we may ask you to make derogates from this clause. 假如景順向閣下招攬銷售或建議任何金融產品, 該金融產品必須是我們經考慮閣下的財政狀況、投資經驗及投資目標後而認為合理地適合閣下的。本表格的其他條文或任何其他我們可能要求閣下簽署的文件及我們可能要求閣下作出的聲明概不會減損本條款的效力。

Note 註:

“Financial product” means any securities, futures contracts or leveraged foreign exchange contracts as defined under the Securities and Futures Ordinance. Regarding “leveraged foreign exchange contracts”, it is only applicable to those traded by persons licensed for Type 3 regulated activity. “金融產品”指《證券及期貨條例》所界定的任何證券、期貨合約或槓桿式外匯交易合約。就“槓桿式外匯交易合約”而言, 其只適用於由獲得發牌經營第 3 類受規管活動的人所買賣的該等槓桿式外匯交易合約。

Section 5 - Documentation Required 第 5 部份 - 所需文件

Please ensure that you include all anti-money laundering and counter-terrorist financing documentation applicable to each applicant. Failure to correctly complete all relevant sections of this form and/or failure to provide the correct anti-money laundering and counter-terrorist financing documentation may result in the rejection of or delay in processing your application and/or the suspension of holdings/payments until the requisite identity documentation is received and is to the satisfaction of the Manager and/or the Trustee. 請附上適用於每位申請人的全部防止洗黑錢及反恐怖份子集資活動文件。倘閣下未能正確填寫本表格所有相關部份及/或未能提供正確的防止洗黑錢及反恐怖份子集資活動文件，或會導致申請被拒或延遲處理及/或持股/付款被凍結，直至閣下遞交所需之證明文件，並符合基金經理及/或受託人的規定為止。

All Applicants must provide the original or certified true copies¹ of the relevant documentation below.
所有申請人必須提供以下相關文件的正本或核證副本¹。

For Individual 個人客戶:

1. Hong Kong Permanent Identity Card or Hong Kong Identity Card with valid Passport. 香港永久性居民身份證或香港居民身份證及其有效護照。
2. Proof of Residential Address (e.g. utility bills or bank statements, bearing full name and residential address, no older than 3 months) of each Holder. 每位客戶之居住地址證明(例如最近三個月附有全名及居住地址之電話費、水費、電費單或銀行月結單等)。

¹ Certified true copies of the original documents should be independently certified by a practising Solicitor/Attorney, Notary Public, or Regulated Financial Institution. Copies must be marked "true copy of the original document" and must be stamped, signed and dated by the certifying party. 正本文件的核證副本應由執業律師/法定代理人、公證人或受監管金融機構獨立核證。副本必須附有「此為正本文件的真實副本」字樣，並由核證者蓋印、簽署及寫上日期。

Forms Required 所需表格:

1. Application & Subscription Form 申請及認購表格
2. Individual Self-Certification for FATCA and CRS 《外國賬戶稅收遵從法》及《共同匯報標準》個人自行證明
3. Risk Profile Questionnaire 風險取向問卷

Important Note 重要資料:

The Trustees and/or the Manager reserves the right to request additional documentation and to apply such Client Due Diligence measures as may be reasonably warranted in its sole opinion, from time to time, for the purpose adherence to Anti-Money Laundering and Counter-Terrorist Financing laws and regulations, in any relevant jurisdiction. Information required pursuant to tax legislation which might be applicable because the country of domicile, residence or citizenship may also be required. 為遵守任何有關適用司法管轄區的防止洗黑錢及反恐怖份子集資活動法律及法規，信託人及/或基金經理有權要求閣下遞交額外的文件，並會基於其單獨意見，不時向客戶進行合理的盡職查證措施。或須提供基於戶籍、居留權或公民權所屬國家而可能適用的稅務法例所需的資料。

Section 6 - Authorization and Declaration 第 6 部分 - 授權及聲明

1. I/We understand that this application is made on the basis of, and subject to, the current prospectus and the constitutive documents of the Invesco Select Retirement Fund. I am/we are applying for and confirm that I/we have read the prospectus and reports of the Fund prior to making this application. 本人/吾等明白此項申請乃根據景順特選退休基金的現行基金章程及組織文件而作出，並受其規限。本人/吾等現提出申請，並確認本人/吾等在提出此項申請前已閱讀基金的章程及報告。
2. I/We agree to accept units of the number or to the value applied for or such smaller number or value as may be issued and request that such units be issued in the name(s) referred to in Section 1. 本人/吾等同意接納所申請數目或價值或所獲發行而數目或價值較少的單位，並要求以第 1 部分所述名義發行該等單位。
3. I/We declare that I am/we are over 18. 本人/吾等聲明本人/吾等已超過 18 歲。
4. I/We undertake that if payment is being made by cheque, the cheque will be met on first presentation. 本人/吾等承諾如以支票付款，支票將於首次提交過戶時兌現。
5. I/We declare that I am/we are not a US person as defined in the current prospectus and that I am/we are not applying as the nominee or agent of a US person. 本人/吾等聲明本人/吾等並非美國人(定義見現行基金章程)，而本人/吾等亦非以美國人之代名人或代理人的身份而提出申請。
6. The person(s), if any, signing on behalf of an investor represent(s) and warrant(s) that they are duly authorized to sign this application form and to subscribe for units of this fund. 任何人士如代表投資者簽署，即表示及保證其已獲正式授權簽署本申請表格及認購本基金的單位。
7. I/We understand that all income and gains of each Sub-Fund will be accumulated and no dividends or distributions will be made out of the assets of any Sub-Fund. 本人/吾等明白每項附屬基金的一切收入及溢利將予累積，任何附屬基金均不會自其資產中撥付股息或分派。
8. I/We acknowledge that (a) this application form is a written contract and is irrevocable once despatched; (b) the Manager reserves the right to reject any application for units in its absolute discretion; and (c) any application monies received for a rejected application will be returned, to the applicant without interest and at the applicant's risk. 本人/吾等承認(a)本申請表格為一書面合約，一經發送即不得撤回；(b)基金經理保留權利，可全權拒絕受理任何單位的申請；及(c)申請如被拒絕受理，已收取的申請款項將不計利息退還申請人，風險概由申請人承擔。
9. I/We agree to provide evidence to prove my/our identity for compliance with the relevant Anti-money Laundering Regulations. I/We understand, a copy of passport or national ID card (for individual)/a certified true copy of Certificate of Incorporation (for corporation and institution), is required; and in case the Manager does not receive evidence to its satisfaction, the Manager may request for further information. I/We understand that the transactions shall not proceed until such information is received by the Manager. 為遵守有關防止洗黑錢的規例，本人/吾等同意提供證據以證明本人/吾等之身份。本人/吾等明白須提供護照或國民身份證副本(如屬個人)/公司註冊證書的核證副本(如屬法團及機構)；如果基金經理未能接獲令其滿意的證據，則可要求進一步資料。本人/吾等明白在基金經理收到該等資料之前，有關交易將不會繼續進行。
10. I/We hereby acknowledge that the Trustee and/or the Manager may disclose information in connection with my participation in the Fund to any person to whom information is required or requested to be disclosed by any tax authority or other governmental agency, to enable the Trustee and/or the Manager to comply with any applicable law or regulation. 本人/吾等謹此確認，信託人及/或基金經理可按任何稅務當局或其他政府機構規定或要求向任何人士披露有關本人參與本基金的資料，使信託人及/或基金經理能遵守任何適用法律或規例。
11. I/We confirm that the above authorizations will remain in effect until revoked in writing, with such revocations being received by the Manager. 本人/吾等確認上述授權將一直有效，直至基金經理接獲通知以書面方式撤回為止。
12. I/We hereby hold harmless, the Manager, Trustee, Custodian, Registrar and Sale and Redemption agents of the Invesco Select Retirement Fund, in relation to any losses or expenses incurred as a result of any of them acting pursuant to the above considerations. 本人/吾等茲保證景順特選退休基金的基金經理、信託人、保管人、過戶登記處及銷售與贖回代理人均毋須因彼等任何一方依據上述考慮因素所招致的任何損失或費用而蒙受損失。
13. I/We confirm that the information provided in this Form is accurate and complete. I/We authorize the Trustee to confirm this from any source the Trustee may choose. 本人/吾等確定在此表格上填寫的資料均屬正確無訛，並無缺漏。本人/吾等授權信託人用任何渠道確實資料的真實。
14. I/We also confirm that the information provided in the Individual Self-Certification for FATCA and CRS along with this Form is accurate and complete. 本人/吾等並確定在隨附《外國賬戶稅收遵從法》及《共同匯報標準》個人自行證明上填寫的資料，均屬正確無訛，並無缺漏。
15. I/We understand that the Trustee may not be able to process this application if I/we fail to provide any information requested in this Form. 本人/吾等明白倘若本人/吾等未能提供本表格所需的資料，信託人將可能無法處理有關申請。
16. Personal Information Collection Statement 收集個人資料聲明
I/We agree that 本人/吾等同意：
(i) Information supplied on the Form and otherwise in connection with my/our subscription in the Fund may be held by the Trustee and/or the Manager will be used for the purposes of processing and administering my/our subscription in the Fund, and may also be used for the purpose of carrying out my/our instructions or responding to any enquiry purporting to be given by me/us or on my/our behalf, dealing with any other matters relating to my/our subscription in the Fund (including, where applicable, the mailing of reports or notices), forming part of the records of the recipient as to the business carried on by it, observing any legal, governmental or regulatory requirements of any relevant jurisdiction (including any disclosure or

notification requirements to which any recipient of the data is subject). The Manager intends to use my/our personal data (name, telephone number, fax number, email address, correspondence address, investment records) for direct marketing of retirement scheme related products or services but the Manager cannot so use my/our personal data without my/our consent¹. All such information may be retained after I/we have ceased to subscribe/hold the Fund. Under the Personal Data (Privacy) Ordinance², I/we have the right to obtain a copy of information held about myself/ourselves and for which I/we may be charged a fee. 信託人及/或基金經理可保留本表格所載資料及其他有關認購本基金的資料，以作為處理及管理本人/吾等認購本基金之用；同時亦可用作執行本人/吾等的指示或答覆本人/吾等或以本人/吾等名義作出的查詢；或其他有關認購本基金之事項（包括，如適用，郵寄報告或通告）；此等資料將構成資料接收人業務之部份記錄；以遵行任何有關適用司法管轄區的法律、政府或監管規定（包括任何資料接收人需遵守的披露或通知的規定）。基金經理有意使用本人/吾等之個人資料（姓名、電話號碼、傳真號碼、電郵地址、通訊地址、投資紀錄）用作直接推廣有關退休計劃產品或服務；然而基金經理除非得到本人/吾等同意不能如此使用本人/吾等的個人資料¹。在本人/吾等停止認購/繼續持有本基金後，信託人及/或基金經理仍可保留上述所有資料。根據個人資料（私隱）條例²，本人/吾等有權在支付費用的情況下，索取一份有關本人/吾等個人資料的副本。

¹ Please note that by signing this Form, you expressly agree to the use of your personal data for direct marketing purposes as mentioned herein. The Manager will cease using the personal data upon your written or verbal request. 一經簽署本表格，閣下即明確表示同意基金經理為直接市場推廣目的而使用閣下的個人資料。倘接獲閣下之書面或口頭要求，基金經理將會停止使用閣下的個人資料。

If you do not wish your information to be made available for the dispatch of information on retirement scheme related products or services to you from the Manager, please the box. 如閣下不欲將資料提供給基金經理，以用作向閣下發放有關退休計劃產品或服務資料，請在方格內加上號。

² You are entitled under the Personal Data (Privacy) Ordinance to be informed by Invesco whether it holds Personal Data about you and to request access to and/or correction of any such Personal Data. Any such request may be made to Data Protection Officer, c/o Head of Compliance, Greater China, Invesco Hong Kong Limited, 41/F, Champion Tower, Three Garden Road, Central, Hong Kong. 按個人資料（私隱）條例，閣下有權要求景順提供是否持有閣下的個人資料，或要求接觸和/或更改任何個人資料。此類要求，可向資料保障主任轉交大中華區監察總監作出書面查詢。請郵寄至香港中環花園道三號冠君大廈四十一樓，景順投資管理有限公司收。

(ii) The Trustee and/or the Manager may disclose and transfer such information to the auditors of the Fund and the Manager, including any of their employees, officers, directors and agents and/or to the ultimate holding company of the Manager and the Trustee and/or their subsidiaries and/or affiliates or to any third party employed to provide administrative, computer or other services or facilities to any person to whom data is provided or may be transferred as aforesaid and/or to any regulatory authority entitled thereto by law or regulation (whether statutory or not), which persons may be persons outside Hong Kong. 信託人及/或基金經理可披露或轉交有關認購本基金的資料予本基金的核數師和基金經理，及其僱員、主任、董事及代理人；及/或基金經理及信託人的最終控股公司；及/或公司及/或聯營機構；或其僱用之第三者以提供行政、電腦或其他服務或設備；及/或受法律或監管規定授權之任何監管機構（無論是否法定機構），而此等人士可以是非居港人士。

Signature 簽署
Name 姓名
Date 日期

Notes to Employees and Employers – 僱員及僱主須知

Notes to Employee 僱員須知

- Upon confirmation of your vested benefits, proceeds from retirement scheme will be transferred to your account under Invesco Select Retirement Fund - Savings Class with no additional charges. 在確定成員的歸屬利益後，退休計劃的款項將轉移投資於閣下於景順特選退休基金--儲蓄類別的戶口，並豁免首次認購費。
- Subscription contract notes will be issued to you upon completion of processing. 投資完成後，閣下將會收到認購合約。

Notes to Employer 僱主須知

- Please return the original Form completed by employee with the above-mentioned documents to:
請將僱員填妥的表格正本連同上述有關文件交回：

Hong Kong Fund Services
Invesco Hong Kong Limited
41/F, Champion Tower
Three Garden Road
Central, Hong Kong
Tel: (852) 2842 7878
Fax: (852) 3128 6512

景順投資管理有限公司
香港基金服務
香港中環
花園道三號
冠君大廈四十一樓
電話: (852) 2842 7878
傳真: (852) 3128 6512

For Invesco use only 只供景順使用	RPO received on	Suitability Assessment YES / NO	Handled by	Sign
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