



**INVESCO SELECT RETIREMENT FUND - SAVINGS CLASS**  
**景順特選退休基金 – 儲蓄類別**  
**SWITCHING FORM 轉換表格**

Please use a blue or black ball pen and complete this Form in BLOCK LETTERS. All amendments should be signed.  
 請以藍色或黑色原子筆及正楷填寫此表格。如有任何刪改，必須在旁加簽。

**Section 1 - Registration Details of Unitholder 第1部份 - 單位持有人資料**

|                                  |       |  |       |  |  |  |  |  |  |  |  |
|----------------------------------|-------|--|-------|--|--|--|--|--|--|--|--|
| Registered Unitholder<br>註冊單位持有人 |       | _____  |       |  |  |  |  |  |  |  |  |
| Account Number 帳戶號碼              |       | <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 20px; height: 20px;"> </td> <td style="width: 20px; height: 20px;"> </td> <td style="width: 20px; height: 20px;"> </td> <td style="width: 20px; height: 20px;"> </td> <td style="width: 20px; height: 20px;"> </td> <td style="width: 20px; height: 20px;"> </td> <td style="width: 20px; height: 20px;"> </td> <td style="width: 20px; height: 20px;"> </td> </tr> </table> |       |  |  |  |  |  |  |  |  |
|                                  |       |  |       |  |  |  |  |  |  |  |  |
| Contact Number<br>聯絡電話號碼         | _____ | Mobile Phone Number<br>手提電話號碼  | _____ |  |  |  |  |  |  |  |  |
| Email Address<br>電郵地址            | _____ | Fax Number<br>傳真號碼   | _____ |  |  |  |  |  |  |  |  |

**Section 2 - Asset Switch For Existing Holding (Note 1) 第2部份 - 轉換戶口現有投資(附註1)**

**1. Switching Details 投資轉換詳情**

Please switch my/our **existing holdings** in Invesco Select Retirement Fund in the following manner:  
 請按以下指示轉換本人/吾等於景順特選退休基金的現有投資：

| Switch From 由                      |                  |                                 | Switch Into 轉換為             |          |
|------------------------------------|------------------|---------------------------------|-----------------------------|----------|
| Name of Sub-Funds<br>附屬基金名稱        | Currency*<br>貨幣* | Amount/Units/%**<br>款額/單位/百分比** | Name of Sub-Funds<br>附屬基金名稱 | %<br>百分比 |
| Strategic Growth Fund<br>策略增長基金    |                  |                                 |                             |          |
| Growth Fund<br>增長基金                |                  |                                 |                             |          |
| Balanced Fund<br>平衡基金              |                  |                                 |                             |          |
| Stable Growth Fund<br>平穩增長基金       |                  |                                 |                             |          |
| Capital Stable Fund<br>資本穩定基金      |                  |                                 |                             |          |
| Global Bond Fund<br>環球債券基金         |                  |                                 |                             |          |
| HK\$ Money Market Fund<br>港元貨幣市場基金 |                  |                                 |                             |          |

\* All Sub-Funds in Invesco Select Retirement Fund are HK\$ denominated. 景順特選退休基金的所有附屬基金均以港元計價。

\*\* Please circle as appropriate. 請圈出適當一項。

**2. Effective Date of Switching 投資轉換生效日期**

- The last dealing day in 最後一個交易日:
- March \_\_\_\_\_ (YYYY) 年三月       June \_\_\_\_\_ (YYYY) 年六月  
 September \_\_\_\_\_ (YYYY) 年九月       December \_\_\_\_\_ (YYYY) 年十二月
- Others, please specify 其他,請註明: \_\_\_\_\_

Note 1: Switching made on the last dealing day of each calendar quarter may be made free of charge. For switching made on any other days, the Manager is entitled to deduct a switching fee of up to 2% of the issue price of the units switched into.

附註1: 持有人可於每季最後一個交易日免費進行投資轉換。如於任何其他日期進行投資轉換，基金經理有權扣除不超過轉換後單位發行價 2% 的轉換費用。

**Section 3 - Change of Investment Mandate and Amount For Future Monthly Contributions (Applicable to Monthly Savings Plan Only) 第 3 部份 - 有關更改未來投資選擇及日後每月供款的詳情(只適用於月供儲蓄計劃)**

**1. Change of Contribution Investment Mandate 更改未來供款投資選擇**

Please invest my/our future monthly contributions in the following manner:

請按以下指示投資本人/吾等日後的供款:

| Name of Sub-Funds<br>附屬基金名稱     | Existing Allocation (%)<br>現有分配(%) | New Allocation (%)<br>新分配(%) |
|---------------------------------|------------------------------------|------------------------------|
| Strategic Growth Fund 策略增長基金    |                                    |                              |
| Growth Fund 增長基金                |                                    |                              |
| Balanced Fund 平衡基金              |                                    |                              |
| Stable Growth Fund 平穩增長基金       |                                    |                              |
| Capital Stable Fund 資本穩定基金      |                                    |                              |
| Global Bond Fund 環球債券基金         |                                    |                              |
| HK\$ Money Market Fund 港元貨幣市場基金 |                                    |                              |
| <b>Total 合共 (%)</b>             | <b>100%</b>                        | <b>100%</b>                  |

**2. Change of Contribution Amount 更改未來供款款額**

From Existing Amount 現有款額: \_\_\_\_\_ To New Amount 新款額: \_\_\_\_\_

**3. Effective Date of Change of Investment Mandate and Contribution Amount 更改未來投資選擇及供款款額生效日期**

Please specify 請註明: \_\_\_\_\_

**Section 4 - Risk Profile Questionnaire & Suitability Assessment 第 4 部份 - 風險取向問卷及適合性評估**

Please answer the Question 1 to Question 5 below. Please also complete a separate Risk Profile Questionnaire if you have not completed this previously. For the joint holders account, please complete the questionnaire by each of the joint account holders. Your latest Risk Profile Questionnaire will be provided to you upon request. 請回答以下問題 1 至問題 5。並請另外完成「風險取向問卷」,如閣下之前未曾完成該問卷。若屬聯名賬戶,每位帳戶持有人須各自回答以下問題及填寫一份「風險取向問卷」。閣下可要求查閱閣下於最近期所遞交之「風險取向問卷」。

Please answer the following questions for Suitability Assessment:

請回答以下問題作適合性評估之用:

|    |   | Account Holder<br>帳戶持有人  |                          | Second Account Holders<br>第二位帳戶持有人 |                          |
|----|---|--------------------------|--------------------------|------------------------------------|--------------------------|
|    |   | YES 是                    | NO 否                     | YES 是                              | NO 否                     |
| 1. | The maximum capital loss of that I am/we are willing to take during a year is over 30%.<br>本人/吾等於一年內能承受最高 30% 以上的資金損失。  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>           | <input type="checkbox"/> |
| 2. | I am/We are willing to accept substantial risk and potential loss of principal in pursuit of higher returns.<br>本人/吾等願意承受高風險和潛在的重大資金損失,以追求更高的潛在回報。  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>           | <input type="checkbox"/> |
| 3. | I/We plan to invest less than 10% of my/our total net worth (excluding own used property and property investments) in Invesco Funds for this subscription. 本人/吾等打算將本人/吾等總資產的 10% 或以下百分比(不包括自住物業及物業投資)投資在是次認購景順之基金上。 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>           | <input type="checkbox"/> |
| 4. | Excluding my/our current investment portfolio, I/we can cover my/our major expenses with my/our liquid assets for 2 years or more. 撇除本人/吾等現時的投資組合,本人/吾等手頭上的流動資金能維持 2 年或以上的日常主要開支。                                   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>           | <input type="checkbox"/> |
| 5. | I/We expect to hold my/our investment for more than 10 years.<br>本人/吾等預期的投資年期為 10 年以上。  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>           | <input type="checkbox"/> |

Invesco will conduct a Suitability Assessment comparing the product risk rating against your risk tolerance level (which is derived from the latest Risk Profile Questionnaire you submitted and your answers to Question 1 to Questions 5 above). If there is a match, we will process the order and subject to the terms and conditions of the latest Offering Document. **However, if there is a mis-match,**

Invesco will not process the order when a mismatch of risks occurs unless we are able to reach you to understand your investment rationale and receive confirmation. 景順會透過比較產品風險評級與閣下的風險承受程度（根據閣下所遞交最近的「風險取向問卷」及閣下於以上問題 1 至問題 5 之答案）來進行適合性評估。如結果是相符，我們會繼續執行有關交易指示及受制於有關基金銷售文件的條款。然而，如結果是不相符的話，景順將不會執行產品風險評級與閣下的風險承受程度不相符的交易指示，除非我們能夠在交易前與閣下聯絡並了解閣下的投資意向及確認指示。

If Invesco Hong Kong Limited and/or Invesco Asset Management Asia Limited solicit the sale of or recommend any financial product to you, the financial product must be reasonably suitable for you having regard to your financial situation, investment experience and investment objectives. No other provision of this agreement or any other document we may ask you to sign and no statement we may ask you to make derogates from this clause. 假如景順投資管理有限公司及／或景順投資管理亞洲有限公司向閣下招攬銷售或建議任何金融產品，該金融產品必須是我們經考慮閣下的財政狀況、投資經驗及投資目標後而認為合理地適合閣下的。本協議的其他條文或任何其他我們可能要求閣下簽署的文件及我們可能要求閣下作出的聲明概不會減損本條款的效力。

Note 註:

“Financial product” means any securities, futures contracts or leveraged foreign exchange contracts as defined under the Securities and Futures Ordinance. Regarding “leveraged foreign exchange contracts”, it is only applicable to those traded by persons licensed for Type 3 regulated activity. “金融產品”指《證券及期貨條例》所界定的任何證券、期貨合約或槓桿式外匯交易合約。就“槓桿式外匯交易合約”而言，其只適用於由獲得發牌經營第 3 類受規管活動的人所買賣的該等槓桿式外匯交易合約。

## Section 5 - Authorization and Declaration 第 5 部分 - 授權及聲明

Personal Information Collection Statement 收集個人資料聲明

I/We agree that 本人/吾等同意：

- (i) Information supplied on the Form and otherwise in connection with my/our subscription in the Fund may be held by the Trustee and/or the Manager will be used for the purposes of processing and administering my/our subscription in the Fund, and may also be used for the purpose of carrying out my/our instructions or responding to any enquiry purporting to be given by me/us or on my/our behalf, dealing with any other matters relating to my/our subscription in the Fund (including, where applicable, the mailing of reports or notices), forming part of the records of the recipient as to the business carried on by it, observing any legal, governmental or regulatory requirements of any relevant jurisdiction (including any disclosure or notification requirements to which any recipient of the data is subject). The Manager intends to use my/our personal data (name, telephone number, fax number, email address, correspondence address, investment records) for direct marketing of retirement scheme related products or services but the Manager cannot so use my/our personal data without my/our consent<sup>1</sup>. All such information may be retained after I/we have ceased to subscribe/hold the Fund. Under the Personal Data (Privacy) Ordinance<sup>2</sup>, I/We have the right to obtain a copy of information held about myself/ourselves and for which I/we may be charged a fee. 信託人及／或基金經理可保留本表格所載資料及其他有關認購本基金的資料，以作為處理及管理本人/吾等認購本基金之用；同時亦可用作執行本人/吾等的指示或答覆本人/吾等或以本人/吾等名義作出的查詢；或其他有關認購本基金之事項(包括，如適用，郵寄報告或通告)；此等資料將構成資料接收人業務之部份記錄；以遵行任何有關適用司法管轄區的法律、政府或監管規定(包括任何資料接收人需遵守的披露或通知的規定)。基金經理有意使用本人/吾等之個人資料(姓名，電話號碼，傳真號碼，電郵地址，通訊地址，投資紀錄)用作直接推廣有關退休計劃產品或服務；然而基金經理除非得到本人/吾等同意不能如此使用本人/吾等的個人資料<sup>1</sup>。在本人/吾等停止認購/繼續持有本基金後，信託人及／或基金經理仍可保留上述所有資料。根據個人資料(私隱)條例<sup>2</sup>，本人/吾等有權在支付費用的情況下，索取一份有關本人/吾等個人資料的副本。

<sup>1</sup> Please note that by signing this Form, you expressly agree to the use of your personal data for direct marketing purposes as mentioned herein. The Manager will cease using the personal data upon your written or verbal request. 一經簽署本表格，閣下即明確表示同意基金經理為直接市場推廣目的而使用閣下的個人資料。倘接獲閣下之書面或口頭要求，基金經理將會停止使用閣下的個人資料。

If you do not wish your information to be made available for the dispatch of information on retirement scheme related products or services to you from the Manager, please  the box.  如閣下不欲將資料提供給基金經理，以用作向閣下發放有關退休計劃產品或服務資料，請在方格內加上✓號。

<sup>2</sup> You are entitled under the Personal Data (Privacy) Ordinance to be informed by Invesco whether it holds Personal Data about you and to request access to and/or correction of any such Personal Data. Any such request may be made to Data Protection Officer, c/o Head of Compliance, Greater China, Invesco Hong Kong Ltd, 41/F, Champion Tower, Three Garden Road, Central, Hong Kong. 按個人資料(私隱)條例，閣下有權要求景順提供是否持有閣下的個人資料，或要求接觸和/或更改任何個人資料。此類要求，可向資料保障主任轉交大中華區監察總監作出書面查詢。請郵寄至香港中環花園道三號冠君大廈四十一樓，景順投資管理有限公司收。

- (ii) The Trustee and/or the Manager may disclose and transfer such information to the auditors of the Fund and the Manager, including any of their employees, officers, directors and agents and/or to the ultimate holding company of the Manager and the Trustee and/or their subsidiaries and/or affiliates or to any third party employed to provide administrative, computer or other services or facilities to any person to whom data is provided or may be transferred as aforesaid and/or to any regulatory authority entitled thereto by law or regulation (whether statutory or not), which persons may be persons outside Hong Kong. 信託人及／或基金經理可披露或轉交有關認購本基金的資料予本基金的核數師和基金經理，及其僱員、主任、董事及代理人；及／或基金經理及信託人的最終控股公司；及／或公司及／或聯營機構；或其僱用之第三者以提供行政、電腦或其他服務或設備；及／或受法律或監管規定授權之任何監管機構(無論是否法定機構)，而此等人士可以是非居港人士。

I/We declare that 本人/吾等聲明

1. All information in this Form is accurate. 本表格所載資料均屬正確無訛。
2. I/We understand that the Trustee may not be able to process this application if I/we fail to provide any information requested in this Form. 本人/吾等明白倘若本人/吾等未能提供本表格所需的資料，信託人將可能無法處理有關申請。
3. I/We have read and agree to comply with the governing rules of the Plan. 本人/吾等已瞭解並同意遵守本計劃之計劃條款。
4. I/We undertake to notify the Trustee as soon as possible of any changes to the information contained in this Form. 本人/吾等承諾如本表格內所載之資料有任何更改，將盡早通知信託人。
5. I/We understand that I/we will be required to provide evidence required by applicable laws and regulations relating to anti-money laundering checks to provide my/our identity and source of funds. If Invesco / the Trustee does not receive satisfactory evidence, further documentation may be requested, and the relevant transaction shall not be processed until such documentation is received. 本人/吾等明白須就現行打擊清洗黑錢的有關法例及規則的要求而提供資料，以證明本人/吾等的身份及資金的來源。倘若景順或信託人未能收到滿意之證明，則可要求提供進一步資料，而有關交易謹在接獲有關資料後方可進行。

Please sign, date and print below the name(s) of the authorized signatory(ies) in full. 請於下文簽署、註明日期並以正楷填上認購人的全名。

|    |              |    |              |
|----|--------------|----|--------------|
| 1. | Signature 簽署 | 2. | Signature 簽署 |
|    | Name 姓名      |    | Name 姓名      |
|    | Date 日期      |    | Date 日期      |
| 3. | Signature 簽署 | 4. | Signature 簽署 |
|    | Name 姓名      |    | Name 姓名      |
|    | Date 日期      |    | Date 日期      |

Please return your Switching Form to:

Hong Kong Fund Services  
Invesco Hong Kong Limited  
41/F, Champion Tower, Three Garden Road,  
Central, Hong Kong  
Tel: (852) 2842 7878 Fax: (852) 3128 6512

請將閣下的轉換表格交回:

景順投資管理有限公司  
香港基金服務  
香港中環花園道三號  
冠君大廈四十一樓  
電話: (852) 2842 7878 傳真: (852) 3128 6512

**NOTE: For faxed instruction, please mail back the original signed form to the above.  
注意: 如以傳真方式發出指示, 請將已簽署的表格正本寄回上址。**

|                                |                 |                                    |            |      |
|--------------------------------|-----------------|------------------------------------|------------|------|
| For Invesco use only<br>只供景順使用 | RPQ received on | Suitability Assessment<br>YES / NO | Handled by | Sign |
|--------------------------------|-----------------|------------------------------------|------------|------|